Directed Self-Assembly of Colloidal Dumbbells with an Electric Field

Ahmet Faik Demirörs,*‡ Patrick M. Johnson,‡ Carlos M. van Kats,‡ Alfon van Blaaderen,‡ and Arnout Imhof*‡

*Soft Condensed Matter, Deby Institute for Nanomaterials Science, Department of Physics and Astronomy, Utrecht University, Princetonplein 5, 3584 CC Utrecht, The Netherlands, and ‡FOM Institute for Atomic and Molecular Physics, Science Park 102, 1098 XG Amsterdam, The Netherlands

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We demonstrate the assembly of colloidal particles with the shape of diatomic molecules (“dumbbells”) into crystals that we study with confocal microscopy. The literature on the preparation of nonspherical colloidal particles has grown steadily. Assembly of these particles into regular three-dimensional crystalline lattices, however, is rarely, if ever, achieved and has not yet been studied quantitatively in 3D real space. We find that, by application of an electric field, such particles assemble quite readily. By varying the particle aspect ratio, range of interactions, and electric field strength, we find several different crystal structures of which three have never before been observed. Moreover, the electric field can be used to switch between different structures and manipulate/switch the photonic properties. Moreover, our work sheds light on fundamental questions related to the self-assembly of nonspherical particles.

1. Introduction

Creating new types of colloidal crystals is vital for understanding crystallization and colloidal interactions, as well as for developing advanced materials such as photonic crystals. However, the range of colloidal crystals produced by common spherical colloids is limited. This range can be expanded by applying external electric fields to the dispersions or by using colloids that have nonspherical shapes or interaction potentials. However, what happens when external fields are applied to dispersions of nonspherical colloids? Here, we show that external electric fields applied to anisotropic colloidal silica dumbbells (dimers) lead to entirely new crystal structures and prevent arrest in a glassy state. The exact structures of these crystals, including multidomain structures, were determined in real space through the use of index matching and confocal microscopy. The effect on the phase behavior of three separate parameters, the aspect ratio (length/width), the double layer thickness relative to the particle phase behavior of three separate parameters, the aspect ratio and electric field strength, was investigated. Even a sparse probing of this parameter space yielded five different crystal structures of which three have never before been observed. Moreover, one martensitic crystal—crystal transition was found as a function of the electric field strength. The properties of the low-density dumbbell crystals were also found to be tunable via the application of an external electric field which reoriented the dumbbells without destroying the crystallinity.

*Correspondence should be addressed to A. F. Demirörs, A.F.Demirors@uu.nl, and A. Imhof, A.Imhof@uu.nl.


For our studies, we have chosen one of the simplest possible nonspherical particles, two spheres held together, which we refer to as a dumbbell. The anisotropy of such a particle can be defined by its aspect ratio, \( L^* = L/\sigma \), where \( L \) is the distance between the centers of the two overlapping spheres and \( \sigma \) is the diameter of each sphere. Such particles are fundamentally interesting because they represent the colloidal analogue of diatomic molecules. They may also be useful as photonic band gap materials. For instance, Li et al. have shown theoretically that dumbbell crystals ordered in specific crystal lattices can exhibit a full photonic band gap.

The phase behavior of hard dumbbells with no external field has been simulated. In the phase diagram of hard dumbbells, Marechal and Dijkstra observed plastic crystals for aspect ratios below 0.4. In plastic crystals, particles have positional order but no long-range orientational order. For aspect ratios over 0.4 and at higher volume fractions, they predicted an ordered crystal phase. For aspect ratios over 0.9, an aperiodic crystal phase was found in simulations. Hard and soft dumbbells in external fields have yet to be simulated.

The first experimental papers on dumbbell self-organization in the absence of external fields have been recently presented. These focused mainly on 2D structures produced by convective drying, i.e., via a nonequilibrium process. A plastic crystal was seen in low aspect ratio \( (L^* = 0.28, 0.33) \) dumbbells, while an aperiodic structure formed in a system with aspect ratio close to unity. In 3D, Mock and Zukoski have studied the formation of crystal structures from dumbbell-like particles with low aspect ratios \( (L^* = 0.26) \). They observed plastic crystals and body-centered-tetragonal \( \text{bcc} \) crystals of aligned particles. In external fields, self-organization of dumbbell particles was investigated by

2. Experimental Section

1.3 μm and 800 nm silica spheres were synthesized using seeded growth via the method of Stöber21 with a rhodamine isothiocyanate (RITC) labeled core.22 The dumbbells were fabricated according to the procedure of Johnson et al.23 For the 0.9 aspect ratio dumbbells, 1.3 μm silica particles were first dimerized and then coated with a thin silica layer to covalently bind the spheres. For 0.7 aspect ratio dumbbells, 800 nm silica dimerized particles were coated with extra silica to a diameter of 1.1 μm per sphere of the dumbbell. The silica dumbbells were purified with density gradient centrifugation (DGC).23 No more than 1% of spheres were left in the dumbbell suspension after DGC. For dispersing the silica dumbbells in relatively polar solvents like dimethyl-sulfoxide (DMSO), no extra treatment was necessary.

The crystal structure for hard dumbbells was annealed by flipping the sample cell up and down 3–4 times and repeating the sedimentation while keeping the field on, in a similar procedure previously used for spheres.24

Dispersing the particles in cyclohexyl bromide (CHB, ε = 7.9) required surface modification. These particles were coated with a layer of 3-(trimethoxysilyl)propyl methacrylate (TPM) under Stöber conditions prior to DGC. Following DGC, the purified dumbbells were dried and then dispersed in a CHB/polymer stabilizer solution (5–15 mg of poly(12-hydroxy stearic acid) (PHSA)-poly(methyl methacrylate) (PMMA) graft-comb stabilizer dissolved in 10 g of CHB). This stabilizer was synthesized according to the procedure of Bosma et al.25 As described by Leunissen et al.,26 charged particles dispersed in a solvent of dielectric constant 4 ≤ ε ≤ 10 exhibit soft interactions for sufficiently low ionic strengths.

Refractive index matched DMSO/water solutions were prepared as described in ref 24, where 11.6% water was mixed with 88.4% DMSO by weight. The refractive index difference in CHB solutions was 0.05, which is low enough to allow visualization of about 1% of the dumbbells. The silica dumbbells were dried and then coated with a thin silica layer to covalently bind the spheres.

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We investigated the following experimental conditions: Hard dumbbells with aspect ratios 0.9 and 0.7 at a y of 10.2 (28 νm/mm) for 0.9 and at γ of 4.0 (23 νm/mm) and 10.3 (37 νm/mm).
for 0.7 aspect ratio. For 0.9 aspect ratio hard dumbbells, a lower γ experiment was not made due to lack of sample. For soft dumbbells, 0.9 aspect ratio particles were investigated at an effective aspect ratio of around 0.4 at γ of 2.1 (78 V rms/mm), and this effective aspect ratio became 0.6 at γ of 7.4 (147 V rms/mm). Effective aspect ratios were determined from confocal microscopy images by assuming the distance between the aligned dumbbells as σ and the distance between the dumbbell cores to be L. For finding the core locations, we used image processing language package IDL (RSI) and methods similar to those described by van Blaaderen et al.4,8

The symmetry of the crystal structures obtained for dumbbells was investigated with a computer program (FINDSYM) available online.29

3. Results and Discussion

Figure 1 and Table 1 summarize the crystal structures observed and the conditions probed. A tetragonal crystal (Figure 1a) and bct structures (Figure 1d) were observed for high electric fields, while base-centered-monoclinic (bcm) structures were observed for low electric fields (Figure 1b,c). As seen in Table 1, a variety of space groups, Wyckhoff positions,30 and unit cell parameters

were observed as a function of the aspect ratio, the interaction potential (hard or soft), and the electric field strength. The parameter $\gamma$, a dimensionless prefactor that measures the strength of the dipolar interactions, is also given in this table (see Experimental Section for an exact definition of $\gamma$). As we shall now describe, these six experimental cases provide a rich variety of structures and behaviors. Some of the structures can be compared and contrasted with colloid theory and with diatomic molecular crystals, while other results are unique to this system.

Figure 2 shows a TEM image of dumbbell particles used in this work. Here, the particles have an aspect ratio of 0.9. The inset in the figure depicts the definition of the aspect ratio ($L^*$). Here, the aspect ratio of the particles is simply lowered by coating the particles with an extra layer of silica.

With no electric field applied, the bulk of the sediments formed glassy solids as illustrated in Figure 3a. Confocal microscopy images of the crystals formed at high fields for hard 0.9 aspect ratio particles (0.9-hard-high) are shown in Figure 3b–d (Supporting Information Movie 1 of a 3D stack). The confocal stack is presented in several different orientations. The $xy$-plane given in Figure 3b shows the hexagonal ordering of the fluorescent cores. An overlay of images in the $xy$-plane from four different layers results in the image shown in Figure 3c. This image is similar to what one would observe for the bct structure of single layers. This superposition resulted in a surprisingly sharp image, in which all holes are filled with the fluorescent cores forming regular hexagons. An fcc crystal of single layers would yield a similar superposition. Thus, this arrangement appears to be close packing. In contrast to spheres, the close packing and stacking is aspect ratio specific.

Particle coordinate analysis showed the crystal structure to be bcm with the space group $C2/m$. The unit cell is shown in Figure 1b. It is notable that Vega et al. predicted a bcm phase for hard dumbbells, which they named CP1 and CP2 for close-packed fcc-like and hcp-like stacking of the dumbbells. The consistency of the zero-field theory with our low field results suggests that the main effect of the external field is to align the particles. Induced dipole–dipole interactions appear to not dramatically affect the crystal structure.

At high electric field strengths, the dipole–dipole interactions become strong enough to affect the crystal structure. This occurred when the field was rapidly increased from 23 Vrms/mm (0.7-hard-low) to 37 Vrms/mm (0.7-hard-high). This higher field drove the structure into a bct phase in a few seconds. Given that the movements in the unit cell were small and did not require a restacking of layers this was a martensitic crystal–crystal transition. Moreover, this martensitic transition was reversible.

Confocal microscopy images for the resulting phase are given in Figure 4e–h. The $xy$-plane, seen in Figure 4e, showed hexagonally ordered dumbbells, similar to the low field structure. However, the higher field altered the stacking along the $z$-axis. The $xy$ layers were shifted half a period along the dumbbell length. This is visible in the image of the $yz$-plane given in Figure 4f. In this cross section, the third layer is aligned with the first, indicating $aba$ stacking. Figure 4g is an image of the $xz$-plane showing a hexagonal arrangement of the fluorescent cores. Together, these observations indicate a bet phase (see Supporting Information Movie 3). A superposition of six consecutive layers parallel with the $xy$-plane resulted in the image given in Figure 4h, where bridge-site stacking of dumbbells along the $x$-axis, parallel to the dumbbell direction, is observed. The unit cell of this bet crystal is shown in Figure 1d.

Introducing soft interactions, resulting from the overlap of extended double layers around the charged particles through use of an organic solvent (CHB), created new, switchable structures. As mentioned earlier, when no field was applied, a random plastic solid formed. When a field was applied, even to these sedimented structures, fully ordered crystals formed. These soft crystals were easier to obtain and to manipulate. Apparently, the increased separation between the particles due to the long-range interparticle repulsion provides enough rotational freedom for the particles to rearrange. This may explain the ordered crystals at lower $\gamma$ ($\gamma = 2.1$) compared to the hard dumbbells.

Two different low-field soft crystal structures were observed, one of which (0.4-soft-low) was more prevalent than the other (0.4-soft-low-2). We will show that the two crystals share the same space group ($C2/m$) but have different unit cell parameters, stacking, and dumbbell orientations.

Confocal microscopy images of crystal 0.4-soft-low-1 are shown in Figure 5a–c. The slice of the xy-plane given in Figure 5a shows particles aligned along the field. Figure 5b shows abc stacking. The superposition of three layers in the xz-plane of the stack shown in Figure 5c shows the hexagonal ordering of the fluorescent cores (Supporting Information Movie 4).

Confocal microscopy images of crystal 0.4-soft-low-2 are shown in Figure 5d–f. As is seen from the xy cut in Figure 5d, particles were aligned head-to-toe parallel to the electric field, contrary to all other structures. This figure shows the particles forming parallelograms, not hexagons. The stacking shown in Figure 5e is aba where only a layers are visible (Supporting Information Movie 5). A projection of four layers in the xy-plane gave Figure 5f. In Figure 5f, two crystal domains were observed on the right and left with a line defect in the center.

Our coordinate analysis yielded C2/m symmetry for the low-field soft crystals, identical to the low-field hard dumbbells (0.7-hard-low). Apparently, the increased interparticle repulsion did not affect the crystal symmetry.

The unit cell angles β are 152° and 136° for 0.4-soft-low-1 and 0.4-soft-low-2, respectively. The unit cells of these phases are depicted in Figure 1b and c, respectively. Thus, 0.4-soft-low-1 shows an identical unit cell to 0.7-hard-low, while for 0.4-soft-low-2, the particles are aligned with the c axis. This result suggests that the more frequent 0.4-soft-low-1 domains grew from the ab-plane (the hexagonal plane), while the infrequent 0.4-soft-low-2 crystal domains grew from the ac-plane. These two phases coexist, and their relative fractions of these phases do not change in time. Subsequently, these orientations are affected differently by gravity and the electric field.

As the field strength was increased from 78 Vrms/mm to 147 Vrms/mm (raising γ to 7.4), another martensitic transition occurred. The soft crystal structure evolved to a bct phase (see Figure 1d for the unit cell). The high field increased the effective aspect ratio of the dumbbells in this crystal structure to 0.6. The confocal images given in Figure 5g–j show different planes of the structure (Supporting Information Movie 6). These images can be compared in all respects to the 0.7-hard-high case (Figure 4e–h). The coordinate analysis gives identical results to the 0.7-hard-high crystals except that the unit cell is larger for 0.6-soft-high.

It is interesting to compare our structures with crystals of diatomic molecules. The soft low-field crystals are perhaps best compared with α-O2 and F2, because they have similar aspect ratios (0.42 for α-O2 and 0.50 for F2). Furthermore, F2 is thought to be a simple van der Waals solid with low quadrupolar interactions.34 These molecular crystals indeed show the same bcm structure and C2/m symmetry as our low field colloidal crystals. The 0.7-hard-low crystal is most comparable in aspect ratio to Br2, which has an aspect ratio of 0.72. However, Br2 forms an orthorhombic crystal with Cmca symmetry.34 This difference in structure can be explained by the presence of strong quadrupolar interactions in Br2 that are not present in our colloidal dumbbells. Such interactions are thought to stabilize the orthorhombic phase of Br2 with respect to the bcm phase.

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...colloidal particles to align with the field and gravity. Angle \( \beta \) depends only on particle shape and not on gravity. For the 0.7-hard-low case, the difference may be attributed to the tendency of the colloidal particles to align with the field and gravity. Angle \( \beta \) is the angle between the unit cell vectors in a plane parallel to gravity. Compression of this plane makes the soft interactions facilitated crystallization and enabled the manipulation of the crystal properties with an electric field. For these systems, the electric field could be used to reorient the dumbbells while maintaining crystallinity. It was also possible to restructure the crystal by turning the electric field on and off. Such switching behavior is interesting for photonic use of these crystals.

A more extensive study of the phase behavior, possible martensitic switching, and the glass formations in these systems is warranted. It would also be interesting to explore a similar dispersion in a density-matched system. This would allow more detailed exploration of zero field case. Finally, a detailed theory for the high-field case is warranted.

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**Supporting Information Available:** Movies that were constructed from confocal microscopy images scanned through the crystal in the z-axis are given for the following systems: 0.9-hard-high (Movie 1), 0.7-hard-low (Movie 2), 0.7-hard-high (Movie 3), 0.4-soft-low-1 (Movie 4), 0.4-soft-low-2 (Movie 5), 0.6-soft-high (Movie 6). This material is available free of charge via the Internet at http://pubs.acs.org.

![Figure 5](image1.png)

Figure 5. Confocal microscopy images of soft dumbbell crystals at an electric field of 78 V rms/mm (a–f) and 147 V rms/mm (g–j). Two kinds of bcm phases are observed at low fields: a–c (0.4-soft-low-1) is the frequently observed; d–f (0.4-soft-low-2) is the infrequent phase. (a) Image of the 0.4-soft-low-1 in the \( xy \)-plane. (b) Image in the \( yz \)-plane reconstructed from the 3D stack. The rectangular region outlines the \( abca \) stacking of the crystal. (c) Image in the \( xc \)-plane superimposed over three layers in this plane that shows the hexagonal order of the fluorescent cores of the dumbbells aligned along the field. Image size (a) = 46.8 \( \mu m \times 46.8 \mu m \). (d) Image of the 0.4-soft-low-2 in the \( xy \)-plane. (e) Image in the \( yz \)-plane reconstructed from the 3D stack. The \( aa \) layers of the \( aba \) stacking is seen, where \( b \) is not visible. (f) Overlay of four \( xy \) layers, which shows the \( aba \) stacking clearly. Image size (d) 55.3 \( \mu m \times 55.3 \mu m \). (g–j) Images of soft dumbbells, which form a \( bc \) crystal at an electric field of 147 V rms/mm (0.6-soft-high). (g) Image of an \( xy \)-plane. (h) Image of an \( yz \)-plane reconstructed from the \( z \)-stack; the square region outlines the \( aba \) stacking of the crystal. (i) \( xz \)-scan of the stack showing the hexagonal order of the dumbbells aligned along the field. (j) Projection of six layers in the \( xy \)-plane, showing bridge-site stacking. Image size (g) 59.1 \( \mu m \times 59.1 \mu m \).

4. Conclusion

A number of conclusions can be summarized. The combination of anisotropic particles, soft interactions, and electric field increases the potential for finding new crystal structures strongly. We observed a remarkable diversity of structures, even with our relatively sparse sampling. Low electric fields aligned the dumbbells, preventing glass formation. The low field results are consistent with zero field literature predictions, both for colloidal dumbbells and for certain molecular dimers. High fields drove martensitic transitions to new \( I4/mmm \) crystal structures. There are no theories available for the high-field crystals yet. Moreover,